

Iowa Department of Corrections

Corrections Today

Presentation to
**Justice Appropriations
Subcommittee**

John Baldwin, Director
February 2009



Our Mission

**To Advance Successful
Offender Reentry to Protect the
Public, Staff and Offenders
from Victimization.**



DOC 2009 Focus

- **Staff**
- **Staff Safety and Training**
- **Re-entry**
- **Classification**
- **Mental Health**
- **Not Guilty by Reason of Insanity**
- **Treatment Programs**
- **Infrastructure**

Corrections Governance

The Board of Corrections approves policy for the Department of Corrections. The Board of Corrections meets monthly.

Board of Corrections Members

Robyn Mills, Chair
(Johnston)

Arthur Neu, Vice Chair
(Carroll)

David Erickson
(West Des Moines)

Sheryl Griffith
(Fort Dodge)

Johnie Hammond
(Ames)

Rev. Michael Coleman
(Waterloo)

Michael Sadler
(Urbandale)

Criminal Justice Relationships

Code of Iowa 904: Department of Corrections' primary role is to manage Institutions and contract with Community-Based Corrections.

Code of Iowa 904A: Board of Parole's primary role is to determine release from institutions.

Code of Iowa 905: Community-Based Corrections' primary role is to manage pre- and post-institutional services for offenders.

Code of Iowa 901B: Corrections Continuum

Corrections' Responsibilities

The Code of Iowa specifies that the following programs be provided.

- Education
- Day Programming
- Electronic Monitoring
- Pretrial Release
- Presentence Investigation
- Jail Inspections
- Iowa Prison Industries and Prison Farms
- OWI Offenders
- Violators Program
- Interstate Compact
- Drug Court
- Sex Offender Supervision
- Batterer's Education
- Anger Management
- Victim Impact Classes

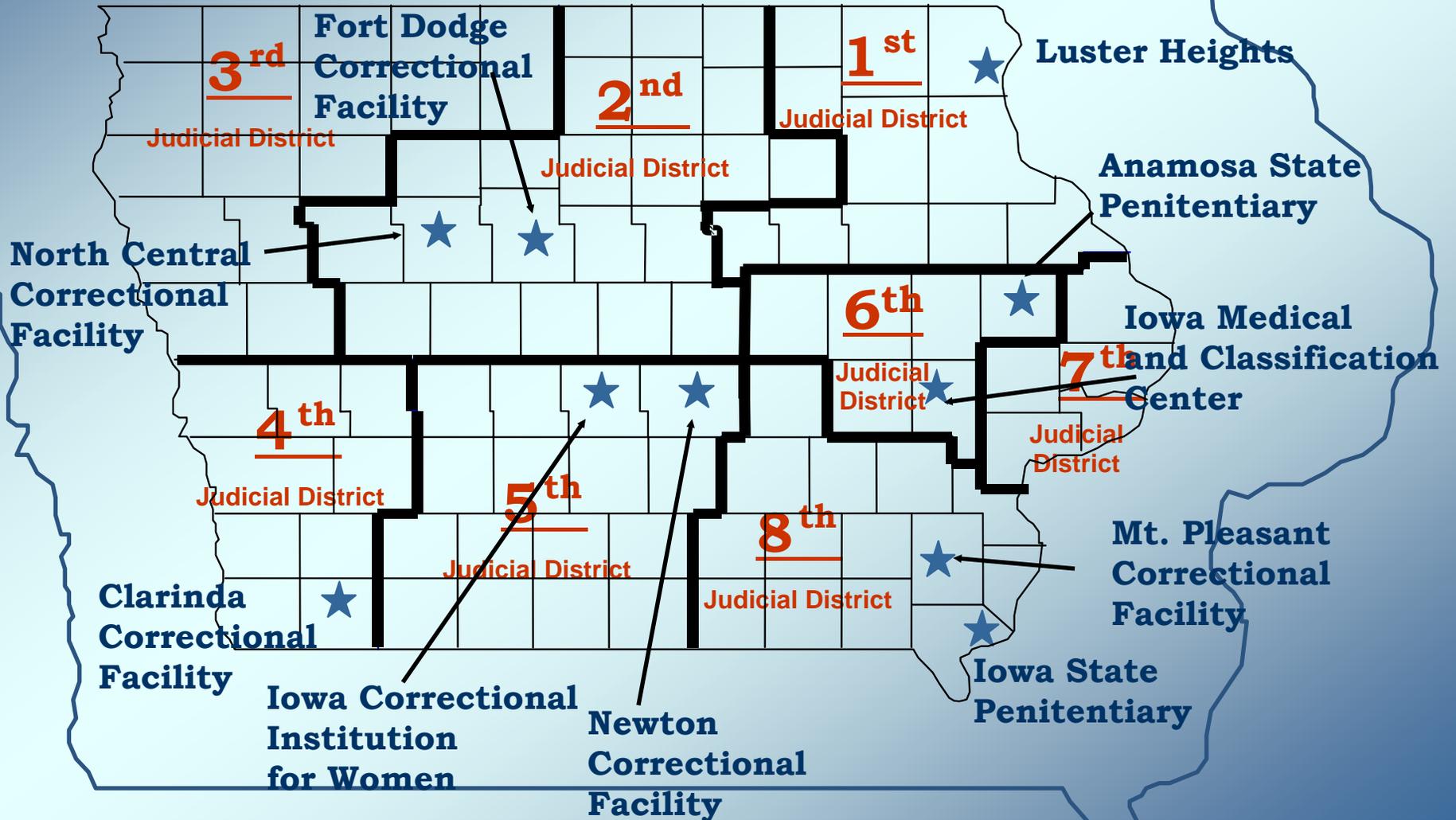
Legal Issues

Federal Court Orders:

- Today, there are 250 various lawsuits pending against DOC.
- The court looks at issues involving the 8th and 14th Amendments (the 8th relates to CRUEL AND UNUSUAL PUNISHMENT and the 14th relates to DUE PROCESS). Basically, the court looks at issues of condition and confinement:

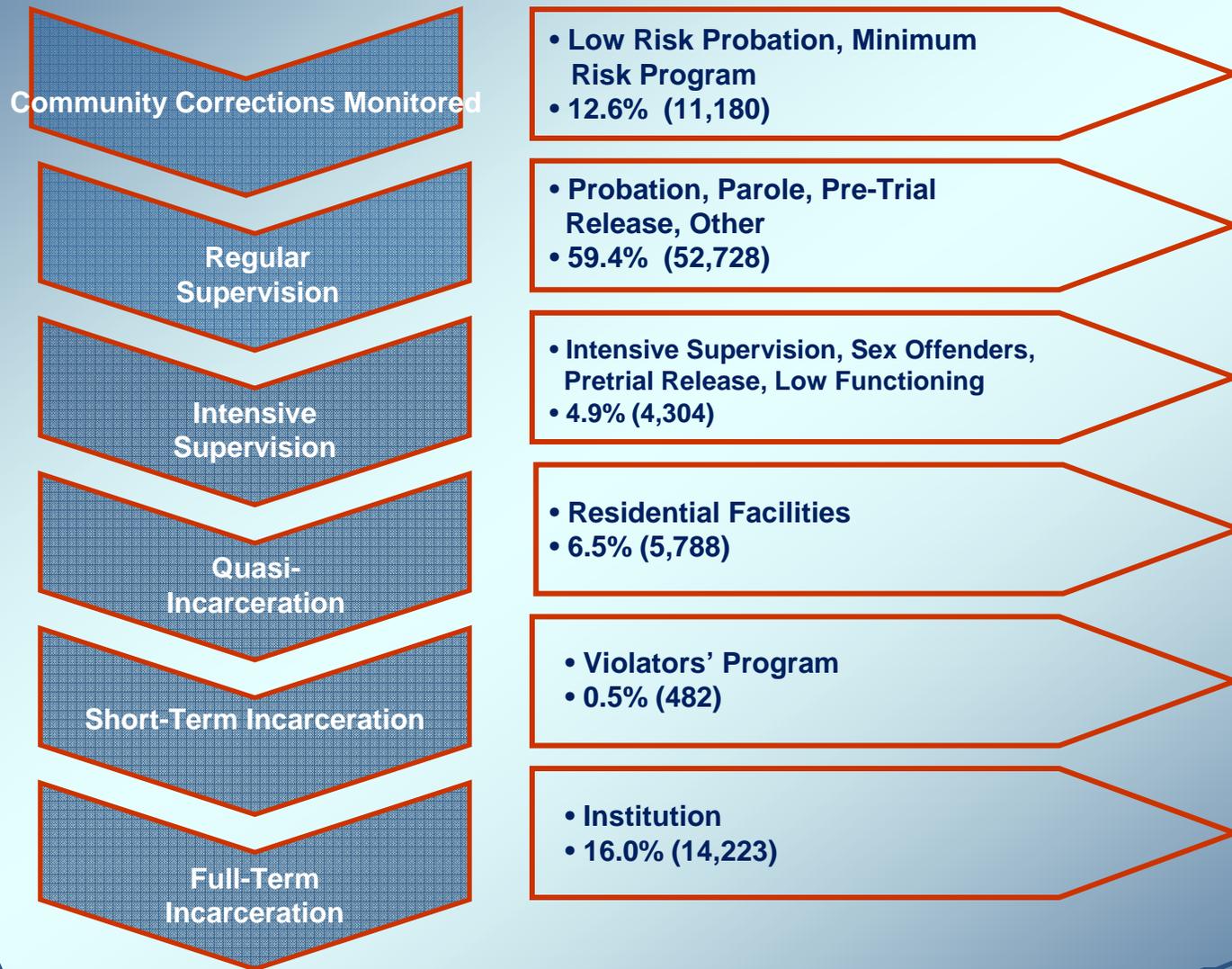
- | | |
|----------------------------------------------------------|----------------------------|
| 1. Health care | 6. Exercise |
| 2. Mental health | 7. Treatment programs |
| 3. Access to religion | 8. Discipline and movement |
| 4. Food | |
| 5. Housing and Overcrowding (120% - 130% of capacity) | |

Iowa Department of Corrections' Institutions and Community-Based Corrections Districts



Corrections Continuum

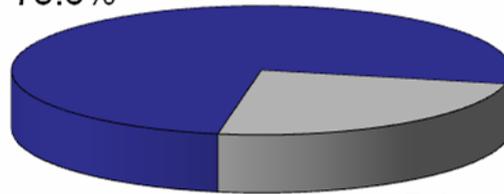
FY 2008 Served



Total Change in Corrections' Population

Corrections Populations FY1998

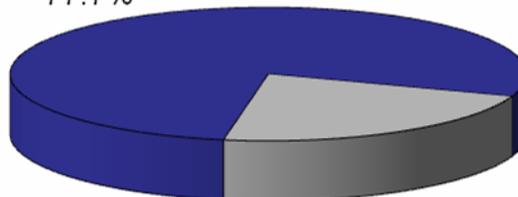
CBC
75.6%



Prison
24.4%

Corrections Populations FY2008

CBC
77.7%



Prison
22.3%



Community Based Corrections (CBCs)

CBC Bed Space Facts

- The 23 residential facilities are listed below with their respective bed capacity.

1st District

West Union Residential Facility - 48
Dubuque Residential Facility - 80
Waterloo Residential Corr. Facility – 150 (43 additional beds for 2012)

5th District

Fort Des Moines Work Release - 119
Fort Des Moines Residential Facility - 80
Fort Des Moines OWI Correctional Center - 67
Des Moines Women's Residential Facility - 48

2nd Judicial District

Curt Forbes Residential Center, Ames - 45
Fort Dodge Residential Facility – 60
Marshalltown Residential Facility - 51
Beje Clark Residential Center, Mason City - 51

6th Judicial District

Hope House (John Stratton Center), Coralville - 55
Gerald R. Hinzman Center, Cedar Rapids - 83
Lary A Nelson Center, Cedar Rapids - 90
Anchor Center, Cedar Rapids – 26 (not yet opened)

3rd Judicial District

Residential Treatment Facility, Sioux City – 57 (42 additional beds for 2012)
Residential Treatment Facility, Sheldon - 29

7th Judicial District

Work Release Center, Davenport – 81 (39 additional beds for 2011)
Residential Corrections Facility, Davenport – 64

4th Judicial District

Council Bluffs Residential Facility - 71
Council Bluffs Women's Res. Facility - 26

8th Judicial District

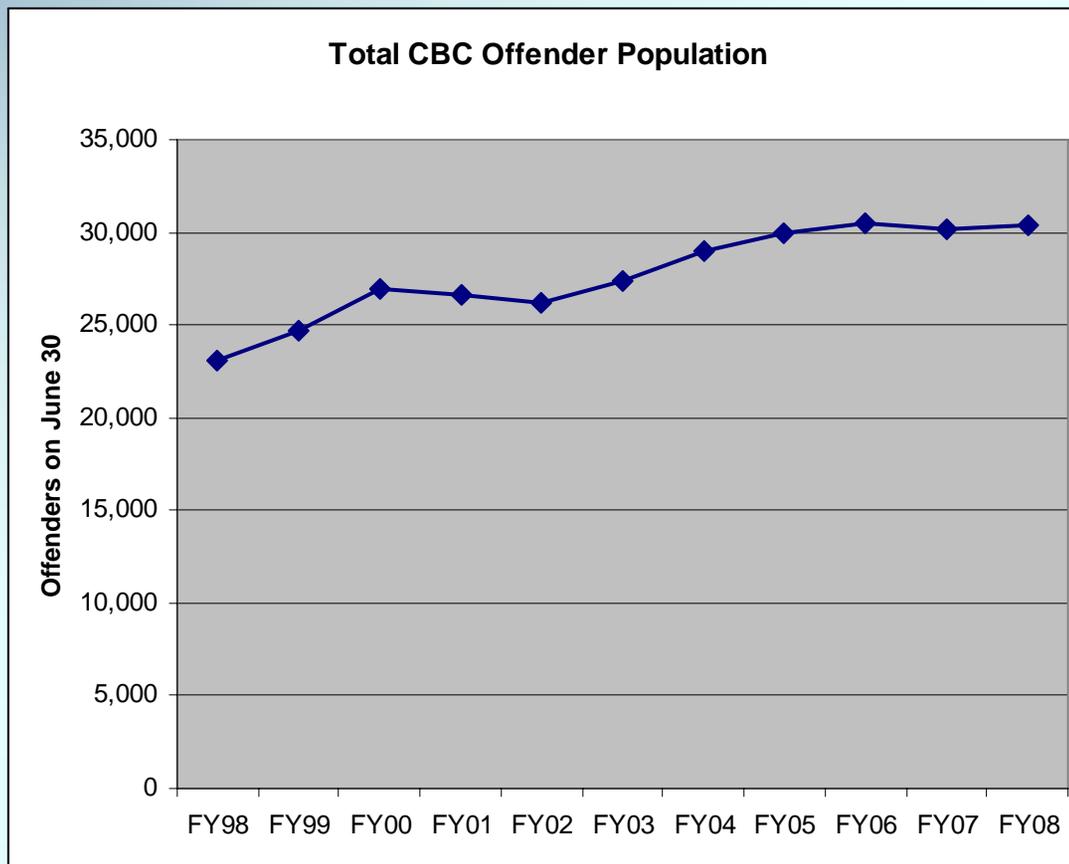
Ottumwa Residential Facility – 51 (25 additional beds for 2012)
Burlington Residential Facility - 60

CBC Facts

- On January 1, 2009, there were 1,228 staff employed by the CBC Districts.
- The top 5 personnel classes in the Districts are:
 - Probation/Parole Officer – 481
 - Residential Officer – 278
 - Secretary – 109
 - Probation/Parole Supervisor – 52
 - Treatment Coordinator – 35
- Residential bed capacity is 1,467

Ten Years of CBC Growth

The CBC population increased 31.7% over the last ten years, from 23,059 to 30,372.





Correctional Institutions

Institution Capacity

| | <u>Current Capacity</u> |
|------------------------------------------------------------|-------------------------|
| Iowa State Penitentiary (John Bennett) (Farms 1 & 3) | 1,081 |
| Anamosa State Penitentiary (Luster Heights) | 1,001 |
| Iowa Medical and Classification Center | 688 |
| Mt. Pleasant Correctional Facility | 875 |
| Newton Correctional Facility (CRC) | 944 |
| North Central Correctional Facility | 245 |
| Clarinda Correctional Facility (Clarinda Lodge) | 975 |
| Iowa Correctional Inst. for Women | 443 |
| <u>Fort Dodge Correctional Facility</u> | <u>1,162</u> |
| Total Capacity | 7,414 |

Institution Facts

- On January 2, 2009, there were 3,266 staff employed in the institutions.
- The top 5 personnel classes in the institutions are:
 - Correctional Officer – 1,634
 - Correctional Counselor – 157
 - Registered Nurse – 124
 - Correctional Food Service Coordinator – 106
 - Correctional Trades Leader – 85
- The institutions operate 24-hours a day, every day.
- It takes over 5 staff to cover a single, 24/7 post or job assignment.

Iowa DOC Employees

In FY2001 the Institutions and Central Office employed 3,074 staff

- In FY2003 we added **106 staff** for the Clinical Care Unit at Fort Madison
- In FY2005 we added **45 staff** for new minimum beds at Clarinda
- In FY2007 we added **300 staff** for the Special Needs Unit at Oakdale

In FY2008 the Institutions and Central Office employed 3,151 staff

What are the characteristics of an “average” institution offender?

Race Breakdown

| | | |
|------------------|-------|--------|
| White | 5,684 | 65.29% |
| African American | 2,228 | 25.59% |
| Native American | 146 | 1.68% |
| Asian | 73 | 0.84% |
| Hispanic | 572 | 6.57% |
| Unknown | 3 | 0.03% |

Education Level –

Average Education is 11.6

Sentences (Years)

| | |
|------------------|-------|
| < 1 year | 1 |
| 1 to < 3 yrs. | 350 |
| 3 to < 5 years | 117 |
| 5 to < 10 years | 1,694 |
| 10 to < 20 years | 2,958 |
| 20 to < 40 years | 1,993 |
| 40+ years | 679 |
| Life | 624 |
| Unknown | 278 |

Iowa Adult Commitments

| | |
|-------|-------|
| 1 – | 5,711 |
| 2 – | 1,628 |
| 3 – | 662 |
| 4 – | 306 |
| 5 – | 109 |
| 6 – | 37 |
| 7 – | 12 |
| 8 – | 12 |
| 9 + – | 02 |

Average Age is 35

Offenders with...

| | |
|-------------------|-------|
| Life Sentences | 624 |
| Mandatory Minimum | 1,546 |

No. of Sentences Per Offender

| | | | |
|-----|-------|------|-----|
| 1 - | 3,235 | 6 - | 207 |
| 2 - | 2,502 | 7 - | 107 |
| 3 - | 1,270 | 8 - | 66 |
| 4 - | 727 | 9+ - | 127 |
| 5 - | 363 | | |

Dependents

Average Dependents per offender who have visited – 2.2

Average Reading Level – 9.4

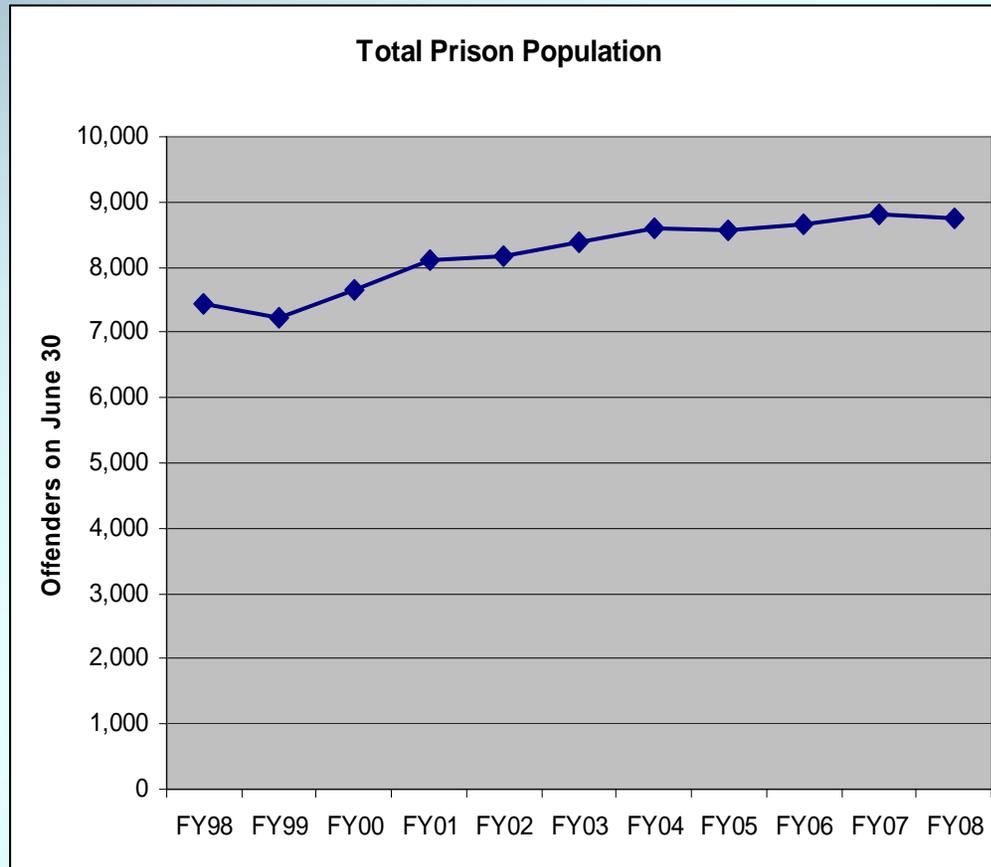
Crime Types

| | | |
|--------------|-------|-------|
| Violent | 3,791 | 44.7% |
| Drug | 1,931 | 22.7% |
| Property | 1,624 | 19.1% |
| Public Order | 580 | 6.8% |
| Other | 563 | 6.6% |

Data as of 2/2/09

Ten Years of Institution Growth

The prison population increased 17.6% over the last ten years, from 7,431 to 8,740.



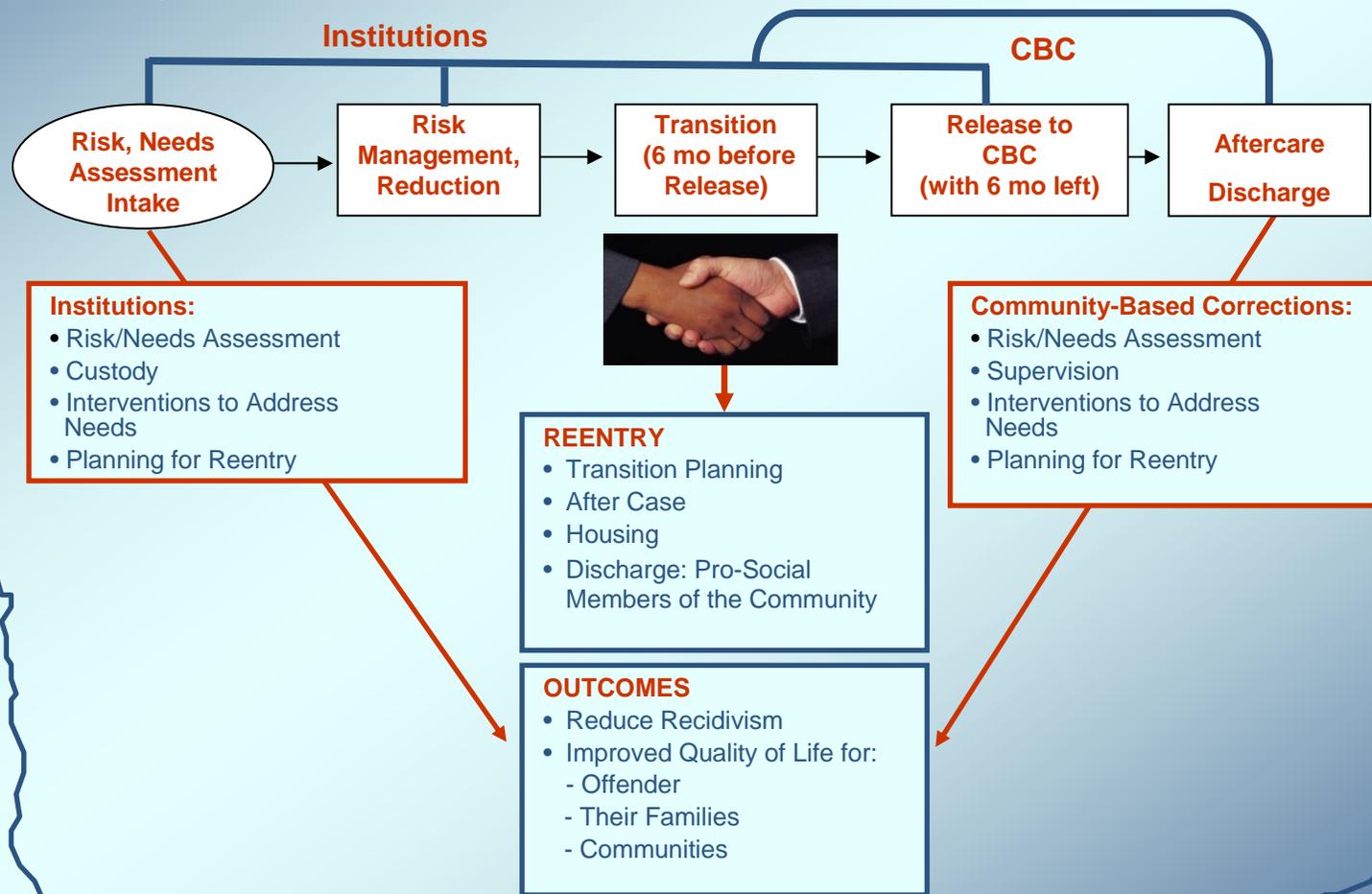
Advancing Successful Offender Reentry

Our focus must not waver from expanding our efforts to identify criminal justice partners that share our goal of advancing successful reentry. We must not waver in our efforts to increase successful reentry for offenders.



Integrating Responsibilities

We advance successful Reentry to protect the community, staff and offenders from victimization by creating partnerships and utilizing evidence based practices to prepare offenders to be pro-social members of the community without correctional supervision.



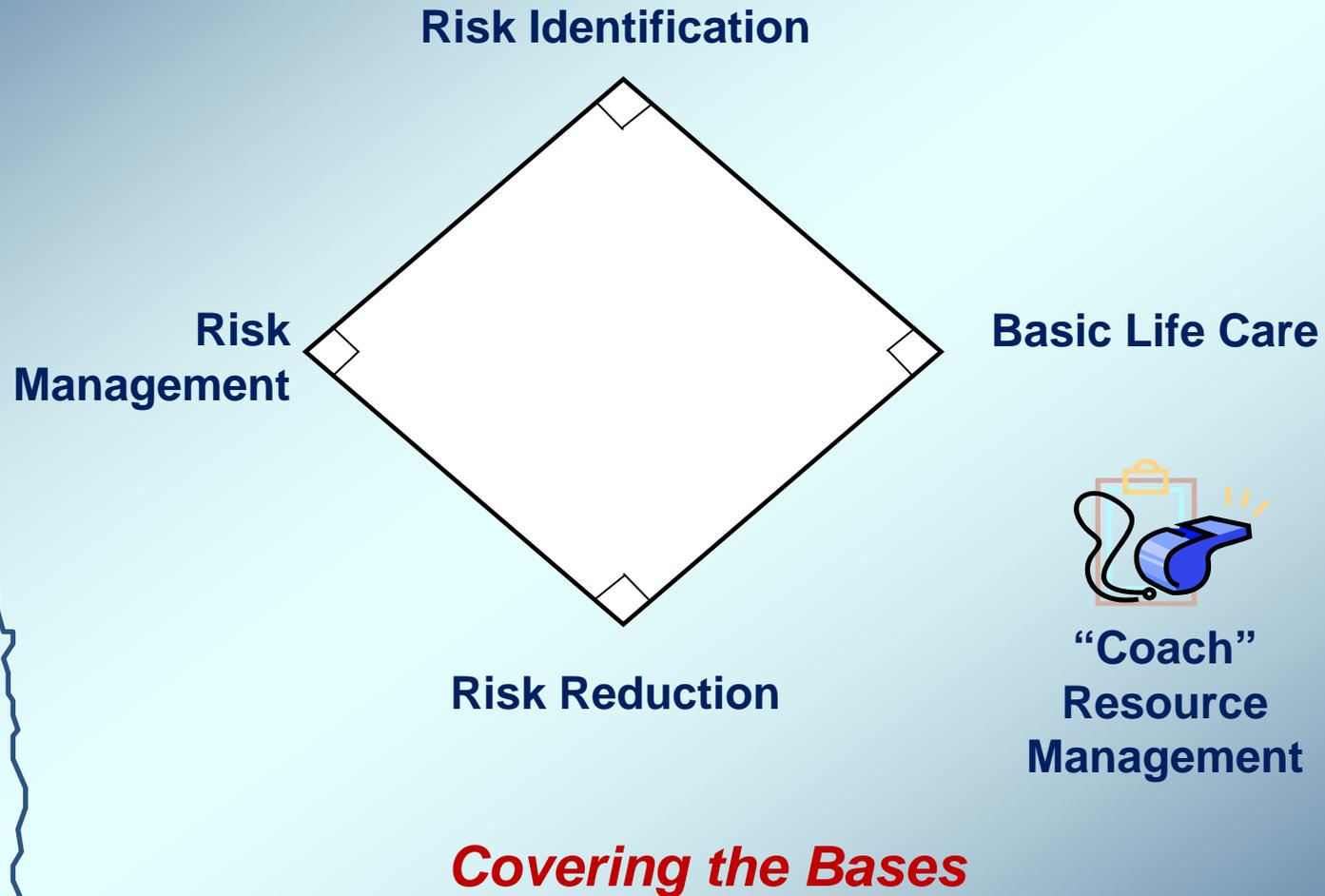
Partnering with Outside Agencies

The Restorative Justice Task Force has been reaching out to faith based and community organization volunteers for information sharing, networking and expanding the capacity to provide Circles of Support and Accountability.

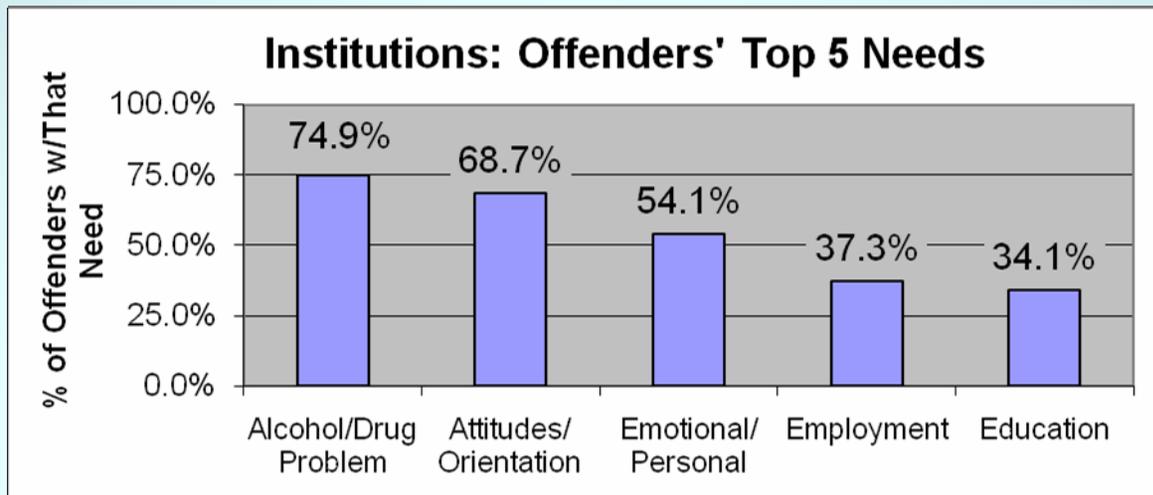
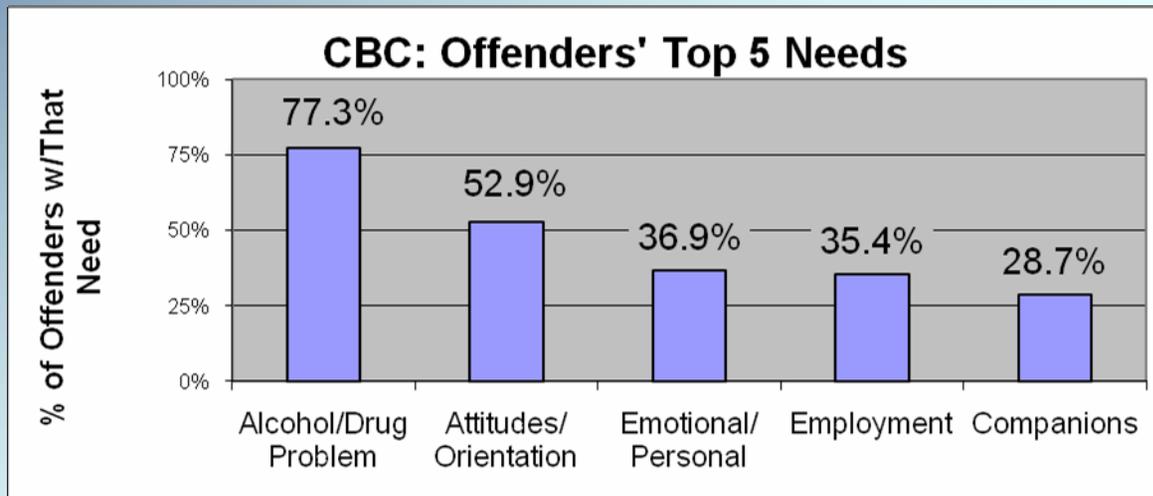
Reentry One Stop Centers in Polk and Black Hawk Counties

- One Stop Reentry Centers are an innovative approach to engaging offenders, families and neighborhoods in successful offender reintegration as well as strengthening families and the fabric of communities.
- The disproportionate incarceration rate of African-Americans is addressed by locating community-based resources in high crime rate African-American communities, increased hiring of African-American staff and effective collaboration with the African-American community and faith-based organizations.
- Primary substance abuse and mental health, education, and employment needs of offenders would be addressed through improved access to community-based resources.

Successful Offender Reentry



Risk Identification: Institution and CBC Top Priority Needs

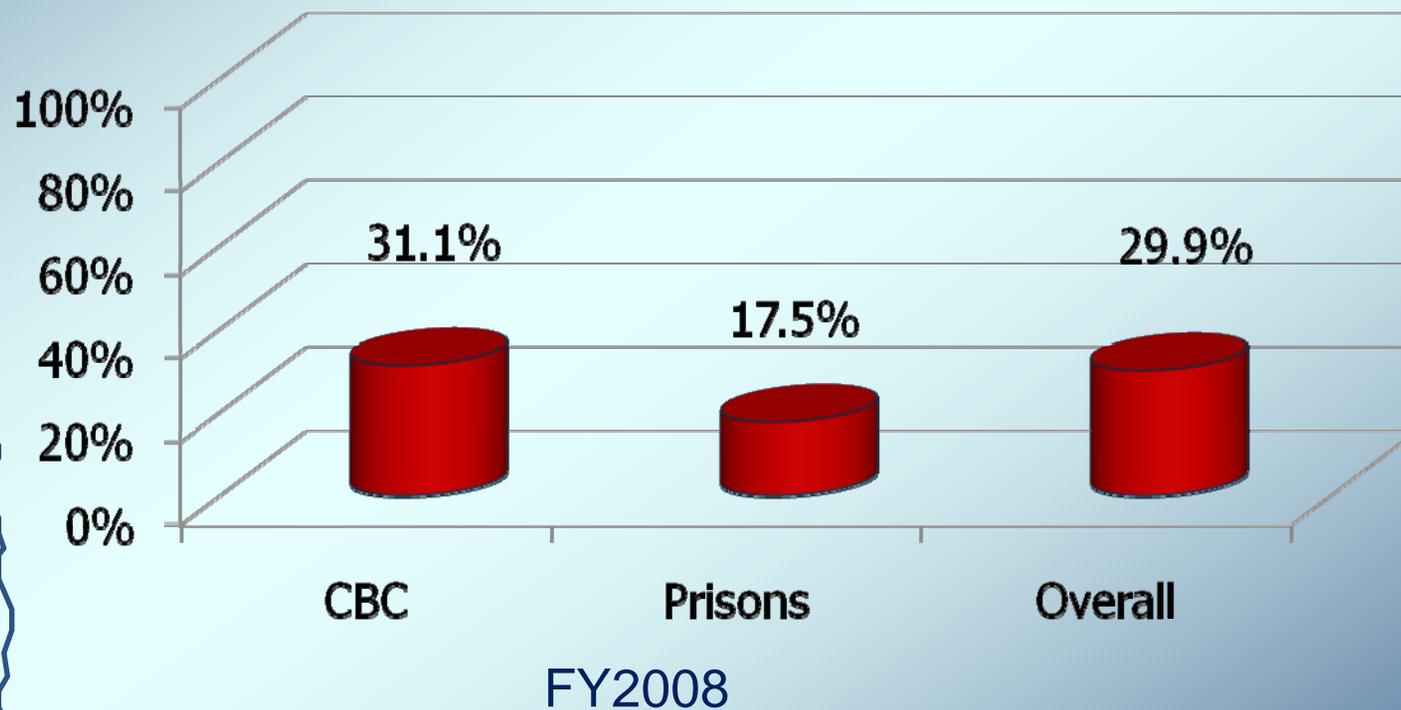


Risk Management

- Managing offenders at the lowest security level possible consistent with public safety. Assigned to facility that best matches custody, treatment and reentry needs. The new classification system better reflects this belief.
- Reentry Case Plan negotiated and follows offender between institution and community setting.

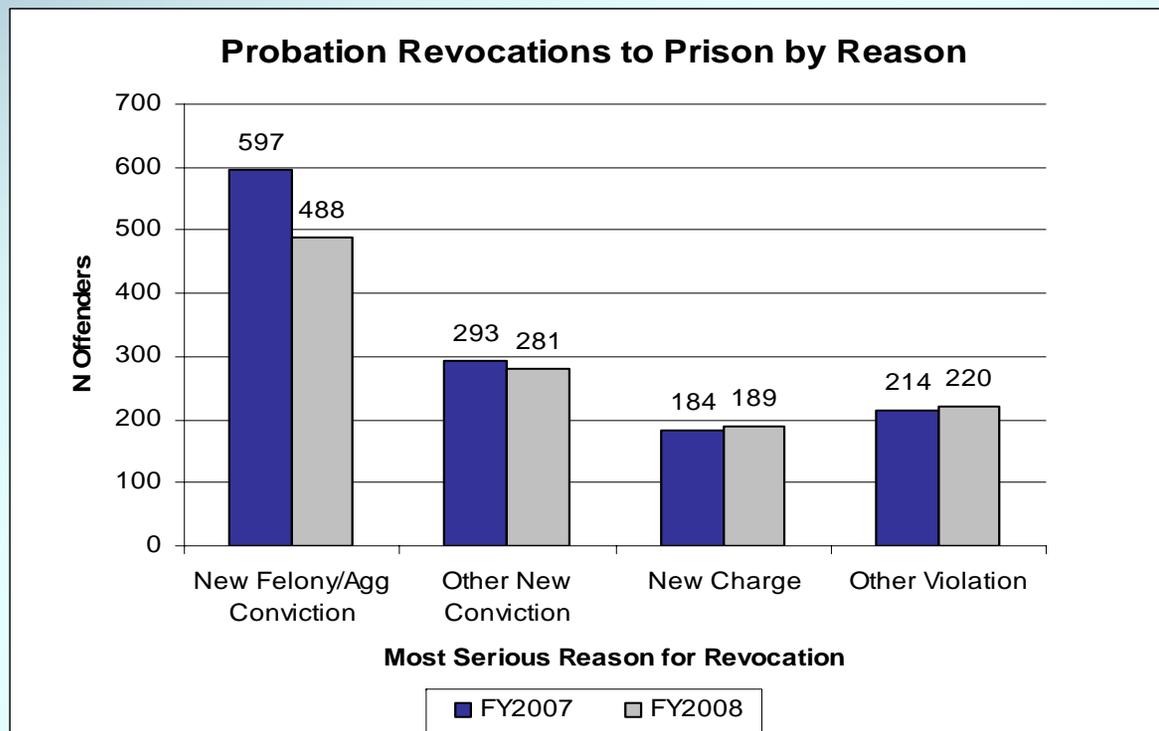
Risk Reduction

Percentage of offenders medium/high risk at time of admission whose LSI-R score shows a significant reduction at final discharge from corrections

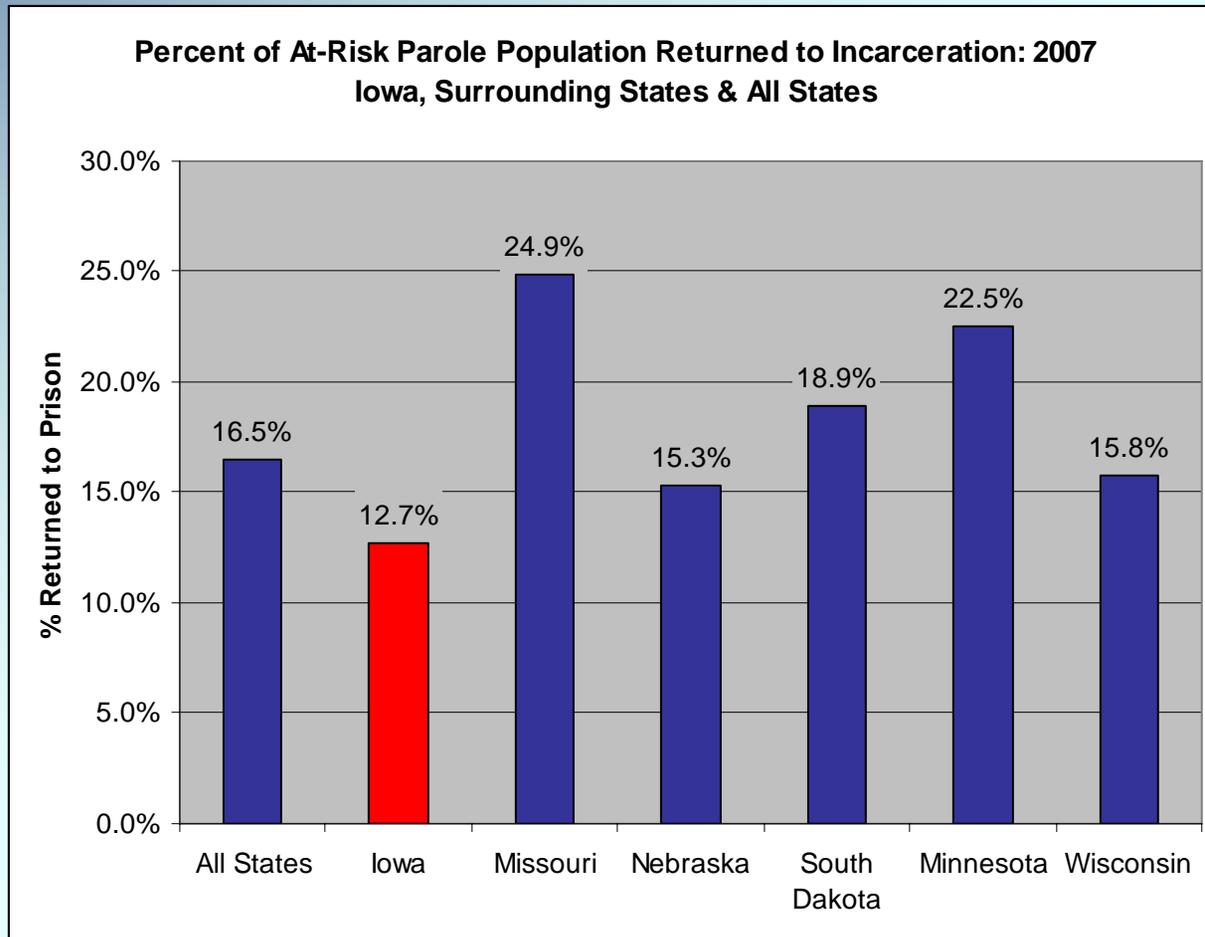


Increased Offender Success: Probation

The number of probation revocations to prison dropped during the past fiscal year – mostly due to fewer new felony/aggravated misdemeanor convictions.



Increased Offender Success: Parole



Illinois did not report.

Source: U.S. Bureau of Justice Statistics

SAVING LIVES: PREVENTING A TRAGEDY

The Mt. Pleasant Correctional Facility received a call from a woman stating she was the mother of one of our offenders, and she wanted to say good-bye to him because she had just slit her wrists. The Captain was able to track down information through the offender, ICON and phone calls to relatives. The Captain then contacted law enforcement out of state at the woman's location, and gave them the name and description of the caller. The authorities were able to locate the woman and deal with her needs – all within 42 minutes of the woman's call to the prison.

SUCCESSFUL REENTRY FOR THE MENTALLY ILL

CBC staff in the 8th District wrote that staff at the Clinical Care Unit had to work hard to prepare for the transition of a mentally ill offender to work release. A CCU Social Worker worked extensively with CPC's, Social Security Administration and others to ensure the offender's smooth transition from prison to the community. "The director and staff of the district that have been involved from our end believe that this was re-entry work at its best and a true collaborative effort," notes one CBC staff.

SUCCESSFUL REENTRY: CHANGING LIVES

Facing 25 years in prison for drug charges, an offender began receiving services at Goodwill Industries. His highly structured plan included work attendance expectations, curfew, and money management. He gained continuous employment, reached his savings goal and moved into his own apartment. Later he became a father and has taken responsibility for the care of his child. He completed probation successfully and was recently recognized as Goodwill Industries Northeast Iowa, Inc's Achiever of the year.



Data Driven Decisions: Iowa Corrections Offender Network

FY2008 Accomplishments

- Implementation of a Sharepoint Performance Measures website
- Annual report template deployed for institutions and CBCs
- Definition of each performance measure established
- Justice data warehouse reports for institutions established
- Committee work towards a Critical Incident Reporting Module for Institutions and CBCs
- Deployment of EBP (Evidence Based Practices) data driven decisions.



Data Driven Decisions

Iowa Corrections

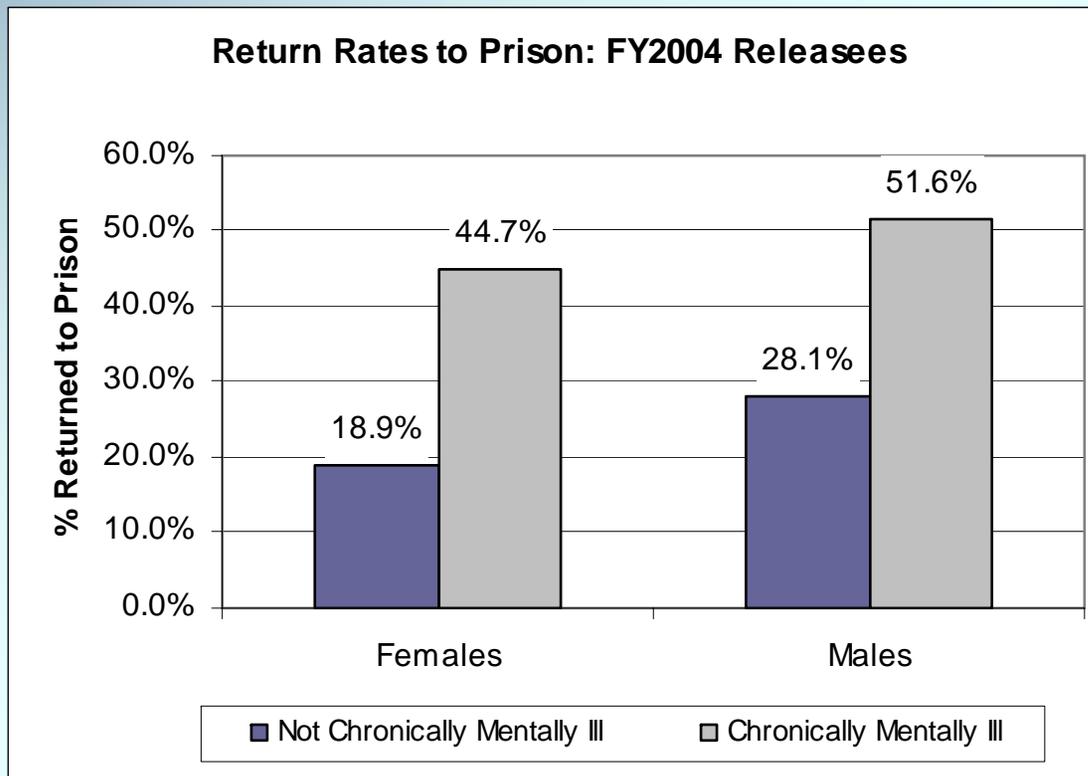
Offender Network

FY2009 Goals

- Statewide deployment of the Critical Incident Reporting Module
- Deployment of the Sexual Violence Propensity Assessment
- Deployment of male/female custody classification assessments
- Deployment of a prison property module
- Continue EBP (Evidence Based Practices) data driven decisions.

Reentry Issue: Mental Health

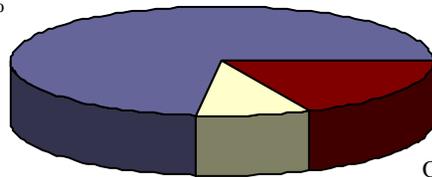
Offenders who are chronically mentally ill have much higher rates of return to prison than other offenders.



Reentry Issue: Mental Health

Probation & Parole Population 10/15/2007

Not in Need of
MI Tx, 16,698,
73.1%



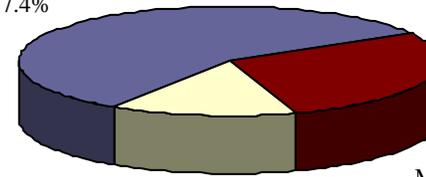
Excludes diversion
caseloads.

Co-Occurring
Disorders,
1,969, 8.6%

Other
Mentally Ill,
4,189, 18.3%

Residential Population 10/15/2007

Not in Need of
MI Tx, 918,
57.4%

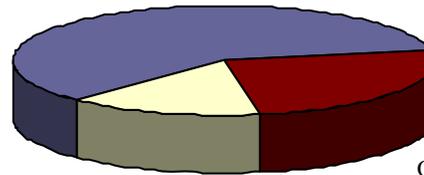


Other
Mentally Ill,
454, 28.4%

Co-Occurring
Disorders, 228,
14.3%

Iowa Prison Population on 12/31/2007

Not in Need of
MI Tx, 5,212,
60.0%



Other
Mentally Ill,
2,176, 25.0%

Co-Occurring
Disorders,
1,305, 15.0%

Major Reentry Issue: Substance Abuse Treatment Needs

- Institutions
 - Random sample of 320 incoming between 2005-07, 85% men, 91% women current disorder, substance abuse or dependence w/in last year (ICON Data Download 2008)
 - 78% men alcohol-use disorder during lifetime, 55.3% females
 - 93% met criteria for one lifetime psychiatric disorder, two-thirds met criteria for three or more
 - Approximately 60% released without treatment (Department of Management 2007)
 - CBCs
 - 75% identified with a substance abuse treatment need exit supervision (IDOC 2007)
 - 53% of the 75% identified as higher risk exit supervision without treatment (IDOC 2007)

Major Reentry Issue: Women Offenders

- Women offender populations have grown faster than male offender populations.
- More women offenders than men have substance abuse identified as a Priority One need.
- 67% of women offenders in institutions are mentally ill.
- Treatment resources in institutions and community-based corrections are a critical issue.

Gender-Responsive Case Management

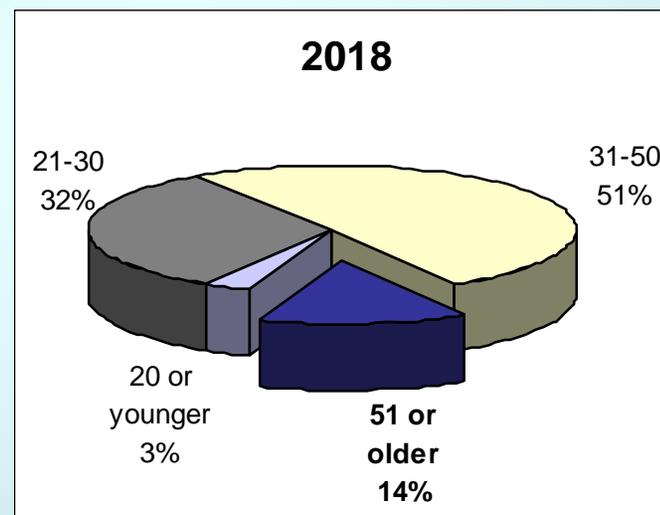
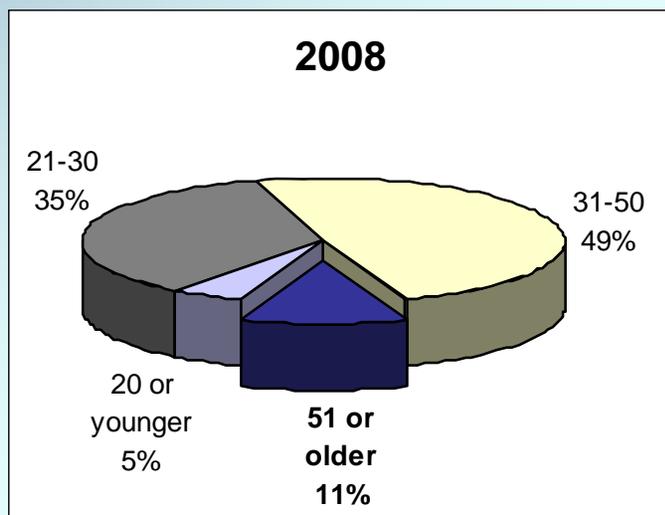
- Women Offender Case Management Model (WOCMM) Technical Assistance Project
- Development and application of gender-specific assessments and interventions to reduce women's recidivism and increase healthy relationships among women offenders and their families
- Iowa one of three states piloting and evaluating
- ICIW expansion philosophically aligns with this evidence-based principle

Major Reentry Issue: Population Growth

- Increases in the following types of offenders have had a substantial impact on Iowa's institutional and community-based corrections populations:
 - Drug offenders
 - Sex offenders
 - Violent offenders (especially those subject to *Iowa Code 902.12* provisions)
 - Women offenders.
- CJJP projects over 8,900 offenders in prison by mid-year 2018.

Major Issue: Aging Prison Population

The number of offenders older than 50 will rise over the next decade, from 912 at the end of FY08 to about 1,280 at the end of FY2018.



Source: CJJP
Prison Population Forecast

Increased Health Care Costs Expected

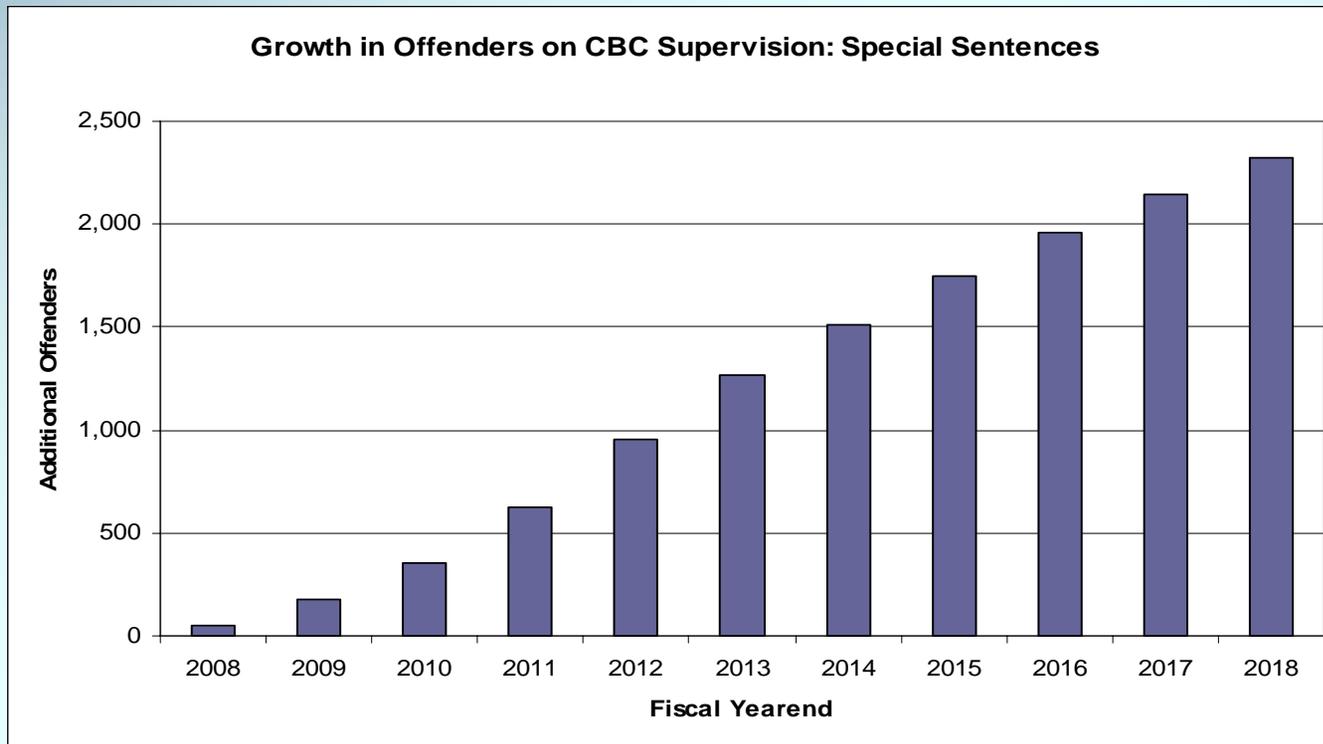
While offenders age 55 or older make up only about 4.8% of the prison population, they are responsible for:

- 10.2% of prescriptions
- 11.6% of medical conditions
- 13% of assistive devices
- 13.8% of nurse visits
- 10.3% of physician visits

2006 Study by Dr. Wendy Ringgenberg,
Des Moines University

Major Reentry Issue: Growth of CBC Sex Offenders

Increases in specialized caseloads, electronic monitoring costs, and treatment resources are expected.



Near Term Issues (As of January 2009)

- **Reentry**
- **Evidence Based Practices (EBP)**
 - Gender Responsivity
- **Workforce Investment**
 - Recruitment, Selection and Retention of Diverse Staff
- **Over Representation of African Americans in the Justice System**

Department of Corrections Leadership Goals

- Advancing successful Reentry
- Safe and orderly system
- Impact recidivism
- Restoration and reparation to victims
- Effective resource management
- It's all about the basics

Cost Reduction Through Best Practices

Ongoing Initiatives

- e-Learning
- Jail Credit Recovery
- Central Records
- Central Banking
- Central Restitution/Child Support Recovery/Court Filing
- Elimination or Alignment of Programs Based on EBP
- Master Dietary Menu
- New Classification System
- Energy Management/Green Government

Future Initiatives

- Central Warehouse
- Central Pharmacy

Infrastructure and Construction

- **Institutions**

- **Corrections Specialist** – *Pulitzer Bogard and Associates*
- **Iowa State Penitentiary** – *Venture Architects, Durrant and HOK* have been named as A&E Firms.
 - **Iowa Correctional Institution for Women** – *Brooks Borg Skiles (BBS), Design Alliance and STV* have been named as A&E Firms.
 - **North Central Correctional Facility Kitchens** – A&E Firm interviews were completed in January 2009.
 - **Mount Pleasant Correctional Facility Kitchen & Warehouse** – A&E Firm interviews were completed in January 2009.

Infrastructure and Construction

- **Community Based Corrections**

- **Waterloo (1st District) 43 Bed Expansion** – A&E Firm
Invision Architecture, Inc.
- **Sioux City (3rd District) 42 Bed Expansion** – A&E Firm
ASK Studio
- **Des Moines (5th District) 170 Bed Study** – Completed
by *ASK Studio* and report submitted December 2008
- **Davenport (7th District) 39 Bed Expansion** – Work in
progress
- **Ottumwa (8th District) 25 Bed Expansion** – A&E Firm
Genesis Architectural Design

Vision:
An Iowa With No Victims.



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Advance Successful Offender
Reentry to Protect the Public, Staff
and Offenders from Victimization.**